



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAVIS et al.

Atty. Ref.: 620-148

Serial No. 09/897,412

Group: 1647

Filed: July 3, 2001

Examiner: Nichols, C.

For: USE OF SECRETIN-RECEPTOR LIGANDS IN  
TREATMENT OF CYSTIC FIBROSIS (CF) AND CHRONIC  
OBSTRUCTIVE PULMONARY DISEASE (COPD)

\* \* \* \* \*

Assistant Commissioner for Patents  
Washington, DC 20231

October 14, 2003

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- ☐ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action on the merits]  
N/C
- ☒ 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
N/C
- ☐ 2.(b) **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance (requires Rule 97(e)  
Statement or Rule 17(p) fee)]  
\$180.00
- ☐ 3. **Pursuant to 37 CFR 1.97(d)**  
[after Final Office Action or Allowance (requires Rule 97(e)  
Statement and Rule 17(p) fee), but before final fee payment]  
\$180.00

The following are submitted in the above-identified application in compliance with  
37 C.F.R. §§ 1.97 and 1.98:

- ☒ 4. A list of documents on Form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of

each non-English language document (such as attached  
International Search Report dated 26/09/2003) is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier];  
and
  - ☒ a) The required Statement made in item 8 below; or
  - ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this  
Information Disclosure Statement is authorized in item 9 below.
- ☐ 7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e)  
Statement and Rule 17(p) fee), but before final fee payment]; and
  - ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith;  
and
  - ☐ b) The required Statement is stated in item 8 below.
- ☒ 8. Statement under 37 CFR 1.97(e)
  - ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R.  
§1.97(e) that each item of information contained in this Information  
Disclosure Statement was first cited in a communication from a foreign  
patent office in a counterpart foreign application not more than three  
months prior to the filing of this Information Disclosure Statement (each  
item contained in this IDS was the first citation of that item by a foreign  
patent office in a counterpart foreign application which occurred no more  
than three months prior to the filing of this IDS); or
  - ☐ b) No item of information contained in this Information Disclosure Statement  
was cited in a communication from a foreign patent office in a counterpart  
foreign application, and, to the knowledge of the person signing this  
Statement, after making reasonable inquiry, no item of information  
contained in this Statement was known to any individual designated in 37  
CFR §1.56(c) more than three months prior to the filing of this Information  
Disclosure Statement.
- ☒ 9. Please charge all deficiency fees associated with the submission of this  
Information Disclosure Statement and any other fees applicable to this  
application to Deposit Account No. 14-1140. An original and one (1) copy of  
this document are enclosed.

DAVIS et al.  
Serial No. 09/897,412

Respectfully submitted,  
NIXON & VANDERHYE P.C.

By: \_\_\_\_\_



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